### **ORIGINAL ARTICLE**

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# Power Matching and Energy Efficiency Improvement of Hydraulic Excavator Driven with Speed and Displacement Variable Power Source



Lei Ge, Long Quan\*, Xiaogang Zhang, Zhixin Dong and Jing Yang

#### **Abstract**

Mobile machinery energy efficiency and emission pollution are the national and worldwide issues. This paper contributes in solving these problems by applying a speed variable power source. Unfortunately, almost all of the speed variable systems have the dynamic response problem when the motor starts with full load or heavy load. To address this problem, a hydraulic accumulator is used to balance the load of the power source for assisting starting of the motor and a matching method combined with speed and displacement control of the pump is proposed to improve the energy efficiency and dynamic performance simultaneously under different working conditions. Also, the power source/valve combined control strategy of an independent metering system is designed to realize flow matching of the whole system. Firstly, a test system is established to study the dynamic performance and energy efficiency of the speed variable power source with an auxiliary accumulator. Working performance and energy consumption of the power source under different rotating speeds and different loads are studied. And then, the hydraulic excavator test rig with the proposed system is constructed. Furthermore, the working performance of the excavator with the speed-fixed and speed-variable strategy are studied comparatively. Results show that, compared with fixed-speed strategy, the electric power consumption during the idle period and partial load condition can be reduced about 2.05 kW and 1.37 kW. The energy efficiency of speed variable power source is about 40%–71%, which is higher than that of the fixed-speed power source by 3%–10%.

**Keywords:** Hydraulic excavator, Power matching, Speed variable, Energy efficiency

#### 1 Introduction

As the main construction machinery, hydraulic excavator is widely used in earthwork construction field, due to their small size-to-power ratio, compact structure and big actuation forces. In the excavator, a displacement variable pump driven by a diesel engine is normally used as power source, and a set of four-sides spool valves are used to control the actuators. In such systems, one or two pumps supplied oil to more than four actuators and

the flow distributing by throttling caused large energy consumption.

Ref. [1] shows that the energy efficiency of the pump is about 87%, the efficiency of hydraulic control system is only 30%, the efficiency of the mechanical system is about 90%, thus the energy consumption for working is only 23% of the engine output. Besides, the average energy efficiency of the engine is only about 35%, the energy efficiency of the whole machine is very low and there is serious emission pollution [2, 3]. This problem not only exists in hydraulic excavators, but also the common problems of other engineering equipment. Thus, improving the energy efficiency and reducing emission has been the research focus in this field.

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Considering the energy transfer chain, there are two ways to improve the energy efficiency of hydraulic excavator: one is to improve load-engine matching performance, another is to reduce the throttling loss.

In the conventional excavator system, the operating point of its engine should meet the needs of load power, which varies widely when the excavator works [4]. Thus, the engine often works under partial load condition, during which the energy efficiency is low. Many research efforts on these issues of the excavator have been undertaken. A conventional way to improve the efficiency of the diesel engine is adjusting the engine speed by the operator according to load condition [5]. Yang proposed a diesel engine cylinder deactivation technology by cutting off the fuel supply to one or two of the engine cylinder [6]. However, these two ways can only improve the economy of diesel engine by mechanical stepped speed changing system and it is only effectively in light load. In order to global improve the engine efficiency, the hybrid technology, in which a hydraulic motor combined with accumulator or electric motor/generator is used as the auxiliary power unit, is proposed and it can downsize the engine power also [7, 8]. Kim et al. [9] studied this hybrid system and proposed an algorithm based on the equivalent fuel minimization strategy (ECMS) to analyze the behavior of the co-state of the optimal control problem. Lin et al. [10, 11] proposed a boom potential energy regeneration system for a hybrid hydraulic excavator. The test results showed that an estimated 45% of the total potential energy could be regenerated. Shen et al. [12, 13] studied a hydraulic hybrid excavator based on common pressure rail in which the throttling loss was eliminated

In mobile fluid power systems, several actuators are connected to one common pump. The pressure level and flow demand of one actuator will normally vary considerably during a duty cycle. One obvious way of meeting the demand of energy efficiency is to make use of load sensing, negative control and positive control technology [14–16]. However, in these systems, a four-side spool valve is used to control the actuator, which causes large throttling loss. Some theses attempt to deal with this problem by using an independent metering in and metering out system for controlling the excavator hydraulic actuators. And this is also one of the research hotspots in valve controlled systems [17, 18]. Sitte et al. [19] introduced the structure of the independent metering system, and given an examination for mobile applications. Xu et al. and Quan et al. studied the pump/valves coordinate control of the independent metering system for excavator [20–22]. Shi et al. [23] proposed a velocity and position control system based on independent metering system for a hydraulic excavator.

Although many technologies have been made to improve the energy efficiency of the hydraulic excavator, such as hybrid technology. However, the energy efficiency of the engine is not higher than 40% and the emissions can not be eliminated completely. This paper proposes an advanced construction machine configuration named electric excavator, in which a frequency conversion electric motor is used to drive a displacement variable pump. As a certain operation point can be supplied by different combinations of driving speed and pump displacement, intelligent control strategies can address major issues like energy efficiency, process dynamics and noise level in hydraulic excavators [24-26]. Yan et al. [27] studied the noise of the speed and displacement variable power source. Roosen et al. [28] studied the energy optimization of hydraulic pump system, and the design process was presented by using the "Parker-Drive-Creator" software. In this paper, a control method based on the segmented speed and continuous displacement control of the pump is proposed to improve the energy efficiency and dynamic performance simultaneously under different working conditions. And also, an accumulator is introduced to the pump inlet port to further improve the system dynamic response performance when the electric motor starts with full load or heavy load. Furthermore, an independent metering in and metering out system is used to reduce the throttling loss.

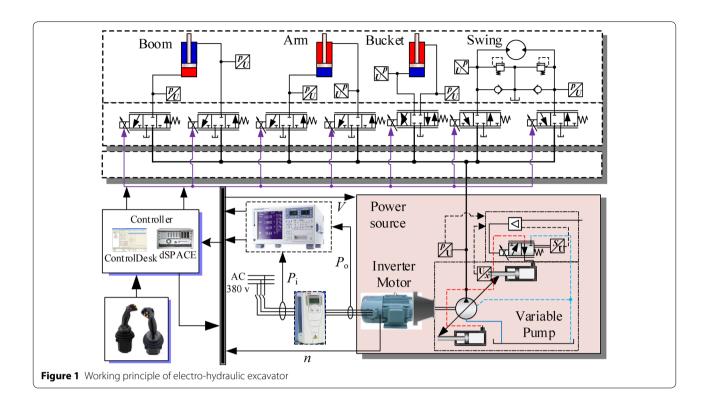
The paper is organized as follows. Section 2 presents the structure and principle of the electric excavator system with independent metering in and metering out system. The dynamic performance and energy consumption characteristic of power source which consists of speed variable electric motor and displacement variable pump based on mathematical model and experiment results in Section 3. Section 4 concentrates on the controller design. Section 5 provides the experimental results. Finally, conclusions are drawn in Section 6.

#### 2 Working Principle of Electro-hydraulic Excavator System

Hydraulic excavator is a typical multiple-actuators construction machine, which has 6 actuators, e.g., swing motor, boom cylinder, arm cylinder, bucket cylinder, left and right traveler motors. In this paper, the boom, arm and bucket cylinders are selected to validate the proposed system. Figure 1 gives the principle of electric excavator with independent metering in and metering out system proposed in this paper.

As shown in Figure 1, a speed variable inverter motor is used to drive an electric displacement and pressure variable pump for controlling the flow rate and pressure of the system. And,an independent metering in and metering out system is used to distribute the flow to the actuators.

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And also, the boom cylinder, arm cylinder and swing motor are controlled by the independent metering in and metering out system, and bucket cylinder is controlled by a traditional three-position four-way proportional valve. And, in the system, some displacement sensors are installed in the actuators to detect the displacement and velocity, and some pressure sensors to detect the pressure inside the actuators and pump port, the power sensor and rotating speed sensor on the motor to detect the electric power and rotating speed.

The proposed system works as below: the controller takes in the inputs of joystick and the measured quantities such as pressures, powers, displacements, flow and speed of the motor. And then the controller analysis the demand automatically and output the voltage signals directly applied to the converter motor, pump and valves according to the set strategy.

The core of the research work is to improve the energy efficiency of the power source and to reduce the throttling loss of the hydraulic system, under the premise of ensuring dynamic characteristics. In the following, these two sections are described in detail.

## 3 Dynamic Response and Energy Efficiency of Electro-hydraulic Power Source

The degree of freedom of speed and displacement variable pumps can be used to adjust the operation points of the electric drive and the hydraulic pump to maximize

the overall energy efficiency. And the process dynamics is determined by the electric motor and pump.

#### 3.1 Dynamic Response

For the speed variable and displacement variable power source, its theoretical output flow rate can be described as Eq. (1):

$$q = n \cdot \beta \cdot V_{\text{dmax}},\tag{1}$$

where n stands for the rotating speed of the electric motor and the pump,  $\beta$  is the ratio of real displacement to the maximum displacement,  $V_{\rm dmax}$  is the maximum displacement of the pump.

The moment equilibrium equation of the electric motor can be written as Eq. (2):

$$T_{\rm m} - T_{\rm L} - T_{\rm f} = J \frac{\mathrm{d}n}{\mathrm{d}t} + B \cdot n, \tag{2}$$

where  $T_{\rm m}$  is electromagnetic torque of electric motor,  $T_{\rm L}$  is load torque mainly pump's operating torque,  $T_{\rm f}$  is the torque independent with rotating speed, J is the moment of inertia, B is damping coefficient.

The pump's operating torque  $T_{\rm L}$  is proportional to the pressure difference that the pump encounters, due to the law of energy conservation. And it can be written as Eq. (3):

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$$T_{\rm L} = \frac{\Delta p_{\rm p} \cdot \beta \cdot V_{\rm dmax}}{2\pi \eta_{\rm p}},\tag{3}$$

where  $\Delta p_{\rm p}$  is the pressure difference of the pump,  $\eta_{\rm p}$  is the total energy efficiency of the pump.

When the torque enhancing function of the inverter is employed, according to Ref. [29], the starting torque of the electric motor can be written as Eq. (4):

$$T_{\rm m} = \frac{c \cdot R_2' \cdot (U_0 + kf_1)^2}{50^2 R^2 f_1 + X^2 f_1^3},\tag{4}$$

where  $c=50^2m_1y/2\pi$ ,  $k=(U_{\rm e}-U_0)/50$ ,  $R=R_1+R_2$ ,  $X=2\pi\cdot 50(L_1+L_2)$ ,  $R_1$  and  $R_2$  are the stator and the transferred rotor resistances respectively, and R is their sum.  $L_1$  and  $L_2$  are the stator and the transferred rotor leakage inductance respectively.  $X_1$ ,  $X_2$  and X are respectively the stator, the transferred rotor inductive reactance and their sum.  $m_1$  is the phase number, y the number of the pole pairs, and  $f_1$  the fundamental frequency.  $U_{\rm e}$  is the rated input voltages in V respectively,  $U_0$  the enhancing voltage when  $f_1$  tends to 0 Hz.

From Eqs. (1)–(4), the dynamic response of the electrohydraulic power source is depended on many factors. When the load condition and power supply capability are taken into account, it is difficult to describe the dynamic response. Thus, a test system is constructed to study the dynamic characteristic of the electro-hydraulic power source, as shown in Figure 2.

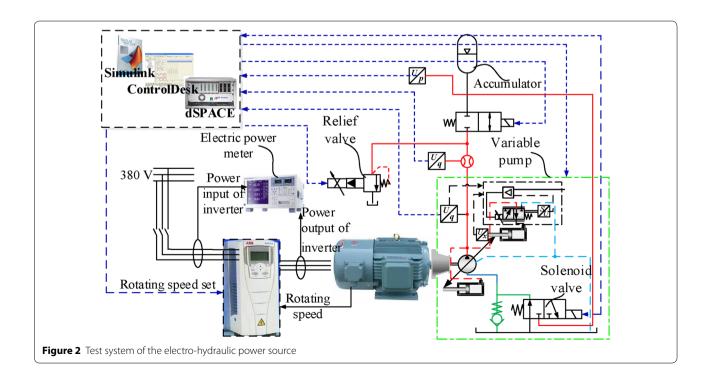
As shown in Figure 2, the electronic proportional pressure and flow pump is driven by an inverter motor. An electric proportional relief valve is used to load the system. And an accumulator is introduced to the system for auxiliary starting and braking. The pump can suction oil from the tank through the check valve or from the accumulator through the solenoid valve. The pump outlet and accumulator oil port are equipped with pressure sensors to detect the pressure of system. The pump outlet is equipped with flow meter also. In order to detect the electric power input of inverter motor, electric power meter is installed before and after the inverter. The ds1103 is used for controlling and signals acquisition.

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#### 3.1.1 Dynamic Response of Electro-hydraulic Power Source

Speed variable power source often starts with full load or heavy load, and sometimes its dynamic response may not meet the system requirements. With the system shown in Figure 2, the dynamic characteristics of the power source under different load condition are studied. The flow output of the pump is detected to characterize the dynamic response.

During test process, the initial motor speed is set as 0 r/min, and the maximum starting current of the motor can be limited by the frequency converter. The pump pressure setting is set as 25 MPa under which the pump will work at the maximum displacement. And the load pressure controlled by the loading valve is set as 0 MPa, 6 MPa, 12 MPa, 18 MPa. The speed control signal is set



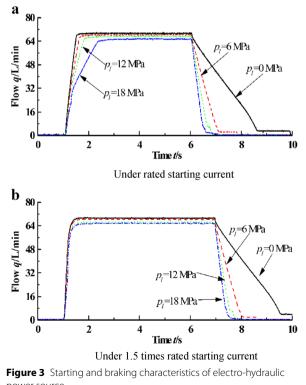
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as 10 V by the step signal, at 1 s. The experiment results are shown in Figure 3.

As shown in Figure 3(a), under rated current of the motor, with the increase of the load pressure, the starting time of the electro-hydraulic power source becomes longer, but the braking time becomes shorter. When the load pressures are 0 MPa and 18 MPa, the starting times are about 0.58 s and 1.43 s, and braking times are about 2.62 s and 0.66 s. As shown in Figure 3(b), when the maximum starting current is set to 1.5 times of the rated current, the starting time increases with the load pressure, and the braking time decreases. It can be concluded that, under the 1.5 times of rated starting current, the increasing of the load pressure has less influence on the dynamic characteristics. And the starting time can meet the requirement of the excavator.

#### 3.1.2 Dynamic Characteristics of Pump

The pump used in the system is an electrohydraulic axial piston variable displacement pump whose pressure and flow can be continuously adjusted. And also, benefitted from the feedback of the actual swivel angle and pressure, the controller can be designed to meet flow, pressure and power demand in proportion. The acquired actual values are processed in the amplifier and compared with the given command values.



power source

The dynamic characteristics test of this electrohydraulic displacement variable pump is conducted under a rotating speed of 1500 r/min, the hydraulic volume connected to the pump outlet port for 1 L and the load pressure for 5 MPa. Figure 4 gives the dynamic response of the pump when the pump is set as a flow control model. The response time is 70 ms for 100% signals up and 29 ms for down. It can be concluded that the results show the pump has quicker dynamic response than the electric motor. Thus, its dynamic characteristic can be ignored in the electrohydraulic power source.

### 3.2 Energy Efficiency of the Electro-hydraulic Power

During the working process of electro-hydraulic power source, the energy conversion process of power source system is about frequency converter-electric motor-pump. The energy efficiency of the power source changing with the speed, pressure and flow. The main purpose of this paper is to design an efficient electro-hydraulic power source for mobile machine to improve its energy efficiency.

#### 3.2.1 Electric Motor

For an asynchronous electric motor, the efficiency of the motor is within acceptable range when working under rated load of more than 50%. And, usually the highest efficiency is achieved under the 75% of the rated load. Its efficiency tends to decrease dramatically below about 50% of the rated load. According to the structure and working principle of motor, the losses consist copper losses, iron losses and mechanical losses. The copper losses are mainly caused by the ohmic resistance of the copper coils, and it is affected by the load and current, and it can be written as Eq. (5):

$$\Delta P_{Cu} = mI^2 R,\tag{5}$$

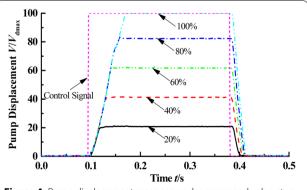


Figure 4 Pump displacement response under command value step condition

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where m is motor phase number, I represents phase current, R represents ohmic resistance of the copper coils.

The iron losses are mainly caused by magnetizing losses and eddy-current losses in the stator, it can be written as Eq. (6):

$$\Delta P_{Fe} = \Delta P_{Fe1} + \Delta P_{Fe2} = k_1 f_1 B^2 + k_2 f_1^2 B^2, \tag{6}$$

where  $\Delta P_{\rm Fe1}$  is magnetizing losses,  $\Delta P_{\rm Fe2}$  is eddy-current losses,  $k_1$ ,  $k_2$  are the iron losses coefficients,  $f_1$  is the flux frequency, B is flux density.

Mechanical losses are mainly friction losses and cooling, it can be written as Eq. (7):

$$\Delta P_m = \Delta P_{\rm f} + \Delta P_{\rm fan},\tag{7}$$

where  $\Delta P_{\rm f}$  is friction losses,  $\Delta P_{\rm fan}$  wind pressure of the fan.

Assuming that the output power of electric motor is  $P_2$ , the energy efficiency of the motor can be written as Eq. (8):

$$\eta = \frac{P_2}{P_2 + \Delta P_{Fe} + \Delta P_{Cu} + \Delta P_m + \Delta P_{\Delta}}.$$
 (8)

#### 3.2.2 Axial Piston Pump

For speed and displacement variable pump, the flow rate output of the pump can be changed by adjusting the speed or the displacement of the pump, and the flow output of the pump can be calculated as Eq. (9):

$$q_{\rm p} = n \cdot \beta \cdot V_{\rm max} \cdot \eta_{\rm v}. \tag{9}$$

The hydraulic pump losses consist volumetric and hydro-mechanical loss, and it can be written as Eq. (10):

$$\eta_{\rm p} = \eta_{\rm v} \cdot \eta_{\rm m}. \tag{10}$$

According to Ref. [30], the experience calculation equation of the volumetric efficiency  $\eta_{\rm v}$  and the mechanical efficiency  $\eta_{\rm m}$  of the hydraulic pump can be written as Eqs. (11), (12):

$$\eta_{\rm v} = 1 - \frac{kC_{\rm s}\Delta p}{\mu n\beta V_{\rm max}},\tag{11}$$

$$\eta_{\rm m} = \frac{1}{1 + \frac{\mu n C_{\rm s}}{k C_{\rm V} \Delta p \beta} + \frac{C_{\rm f}}{\beta} + \frac{2\pi T_{\rm s}}{\Delta p n \beta V_{\rm max}}},\tag{12}$$

where n is the rotating speed of pump, k is the scale coefficient,  $C_{\rm s}$  is leakage coefficient,  $\Delta p$  is pressure difference,  $\mu$  is kinematic viscosity,  $C_{\rm V}$  is resistance coefficient of laminar,  $C_{\rm f}$  is mechanical resistance coefficient,  $T_{\rm s}$  is the torque losses independent of speed and pressure difference.

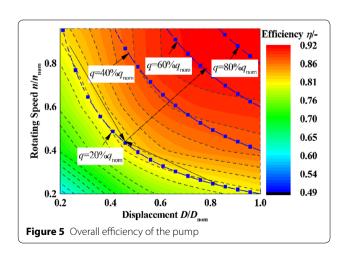
Figure 5 gives the overall efficiency of the pump varies with speed and displacement under 0.5 times rated pressure, calculated with Eqs. (11), (12).

It can be concluded that the larger the output flow rate of the pump, the higher the efficiency of the pump, for a certain pump. In addition, the shape of the flow contours and energy efficiency contours are basically the same. Thus, we can conclude that the changing the speed or displacement have less influence on the overall efficiency under a certain flow rate requirement condition. However, for the hydraulic pump, its efficiency is affected by pressure, temperature, rotating speed, and so on. Thus, it is difficult to forecast relationship of these parameters with the energy efficiency of the pump. According to the Ref. [31], the loss of displacement-controlled pump presented is similar to the theoretical analysis in this paper. Thus, the theoretical data can be used for guiding the control strategy design in this paper.

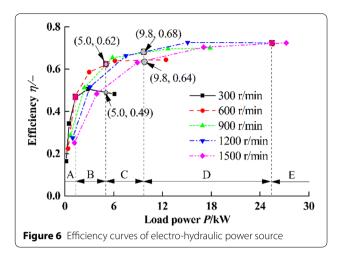
#### 3.2.3 Electro-hydraulic Power Source

According to analysis, the energy efficiency of the electro-hydraulic power source is affected by many parameters, such as speed, displacement, pressure and so on. In order to validate the results of theoretic analysis about the energy efficiency of the electric motor and pump, the energy efficiency of the electro-hydraulic power source is tested based on the test system shown in Figure 2. The rated nominal output power and speed of the electric motor are 37 kW and 1500 r/min respectively. And, the rated displacement of the pump is about 71 mL/r. Changing the load pressure, speed and displacement of the pump, we can get the energy efficiency curves of the electro-hydraulic power source under different conditions, as shown in Figure 6.

As shown in Figure 6, the energy efficiency of the electro-hydraulic power source increases with the load power increasing. And, according to the tested results, When



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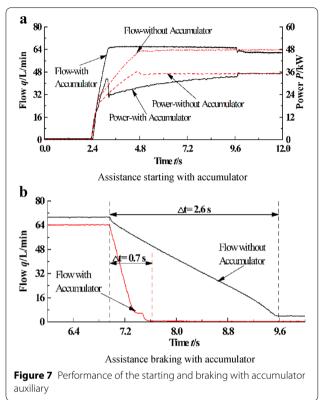
the load power is given, we can find an efficient speed set value. For example, when the load power is about 5 kW, the energy efficiencies under 300 r/min, 600 r/min, 900 r/min, 1200 r/min and 1500 r/min, are 49.0%, 62.0%, 62.6%, 57.8% and 51.8% respectively. Thus, in the practical application, the power source efficiency can be improved by detecting the load power to change the speed of the power source.

#### 3.3 Dynamic Response Improvement of the Power Source

As mentioned in Section 3.1, when the motor starting current is large enough, the dynamic response of the system basically meets the needs of use, but it may cause a big impact on the grid. Therefore, an accumulator is introduced to the system to improve the dynamic response. Figure 7 gives the dynamic response of the system under the condition that accumulator filling pressure is about 18 MPa, loading pressure is set as 21 MPa and the current is limited to rated current. During the experiment process, a speed control signal of 10 V is given at 1 s, and at a time of 7 s the control signal step is decreased to 0 V. As shown in Figure 7, when the load pressure is about 21 MPa, the starting time is about 2.36 s. And it can be shortened to 0.58 s with the accumulator assistant. And also, the starting power demand can be decreased, as well as the braking time.

#### 4 Control Strategy of Electric Excavator

During the working process of the excavator, the operator gives the velocity control signal through the joystick, the swivel of the joystick is proportional to the velocity of the hydraulic actuator. If there is only one actuator, it is easy to realize the velocity control of the actuator by controlling the output flow of the pump. When there are more than one actuators working simultaneously, it is necessary to control the flow distribution ratio besides



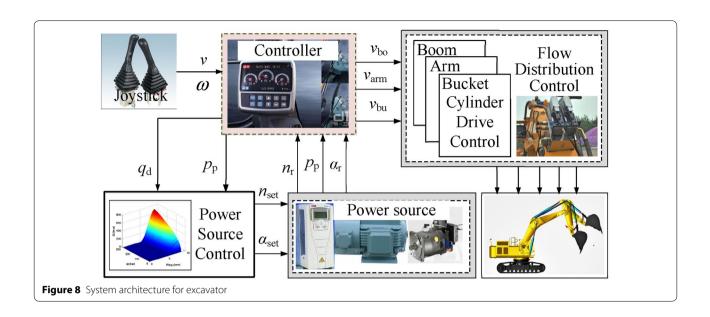
controlling the output flow of the pump. Thus, the control strategy of electric excavator consists of demand flow calculation, power source control and flow distribution modules, as shown in Figure 8.

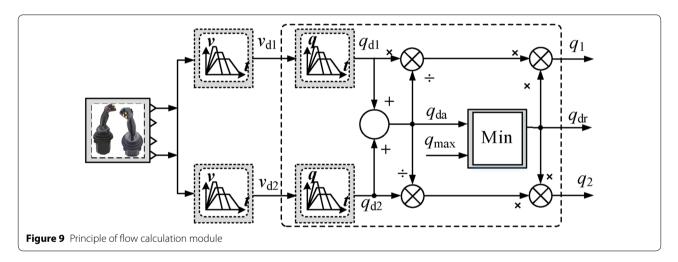
#### 4.1 Flow Calculation Module

Figure 9 gives the principle of flow calculation module. During working process, the operator gives the velocity control signal  $\nu_{\rm d}$  through a joystick, the controller calculates the demand flow  $q_{\rm d}.$  If the aggregate demand flow  $q_{\rm da}$  is greater than that the power source can supply, the demand flow rates of every working actuators are rebuilt to realize flow-saturated resistant. And then the aggregate demand flow  $q_{\rm da}$  and demand flow rates such as  $q_1$ ,  $q_2$  are delivered to power source and flow distribution module.

Take compound action of two cylinders for example, the operator gives the velocity control signal  $v_{\rm d1}$  and  $v_{\rm d2}$ , and they are translated to the flow signals of the corresponding cylinders  $q_{\rm d1}$  and  $q_{\rm d2}$ , if the aggregate demand flow  $q_{\rm da} < q_{\rm max}$ , the  $q_{\rm da}$  is delivered to power source, and  $q_{\rm 1}$  and  $q_{\rm 2}$  are delivered to the flow distribution modules. if the aggregate demand flow  $q_{\rm da} > q_{\rm max}$ , the  $q_{\rm max}$  is delivered to power source, and  $q_{\rm 1}$  and  $q_{\rm 2}$  are calculated as Eq. (13):

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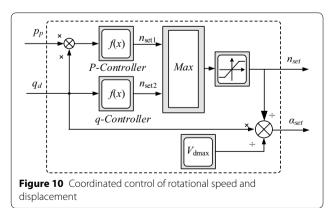




$$\begin{cases} q_1 = \frac{q_{d1}}{q_{d1} + q_{d2}} q_{\text{max}}, \\ q_2 = \frac{q_{d2}}{q_{d1} + q_{d2}} q_{\text{max}}. \end{cases}$$
 (13)

#### 4.2 Power Source Module

The power source module' job is to control the speed and displacement of the pump, according to the signal  $q_{\rm dr}$ . The aim of this module is to ensure the dynamic performance while improving the energy efficiency and to reduce the operating costs. The control principle is that, while the flow changes rapidly, it is necessary to avoid changing the motor speed, and when the load power is relatively low, the speed motor is set as a low value to achieve a good efficiency. Thus, a matching method based on the segmented speed control and continuous displacement control of the pump is proposed, as shown in Figure 10.



As shown in Figure 10, according to  $q_{\rm d}$ , the speed of the motor  $n_{\rm set1}$  is calculated when the displacement of the pump is set as 80% rated displacement; and then

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according to  $q_{\rm d}$  and the pump's outlet pressure  $p_{\rm p}$ , the load power  $P_{\rm p}$  can be calculated out, the table look up method is used to determine the speed  $n_{\rm set2}$  under which the electro-hydraulic power source works under high efficiency; and then the maximum value of these two speeds are chosen to control the motor.

When the speed of the motor is determined, the displacement of the pump can be calculated according to Eq. (14):

$$V = \frac{q_{\rm d}}{n_{\rm set}}. (14)$$

#### 4.3 Flow Distribution Module

The flow distribution module' job is to distribute the output flow of the pump by changing the opening levels of control valves. The control principle is shown in Figure 11.

As shown in Figure 11, the control model can be divided into open circuit pump control, metering out control, metering in control, regeneration control and metering in and metering out control, according to the direction of the load and velocity. When the actuator

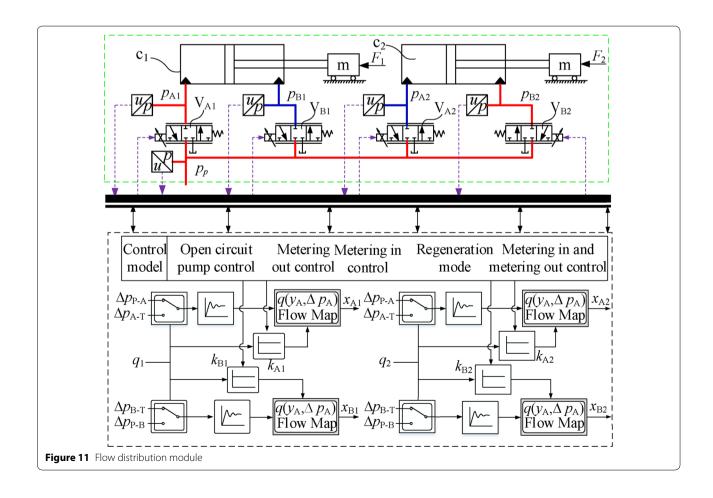
works under resistance load, the open circuit pump control is selected to reduce throttling loss by fully opening the control valves. When the actuator works under over-running load, the metering out control and regeneration control are selected. And when there are more than one actuators working simultaneously, the metering in control method is used to control the actuator which works under low load, and also when this actuator works under over-running load, the metering in and metering out control method is used.

Take cylinder extension as an example, firstly, the load condition of this cylinder can be determined by the cylinder pressures, and then the control model and ratio coefficients  $k_{\rm A}$  and  $k_{\rm B}$  can be identified, at last, the inlet and outlet flow rates are calculated to control the valves based on the basis of feedback of pressure difference.

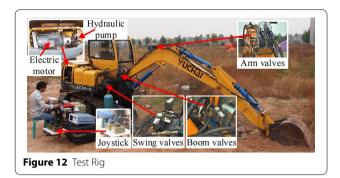
#### 5 Experiment and Results Analysis

#### 5.1 Experiment Rig

In this paper, a 6-ton hydraulic excavator is chosen as the test rig, as shown in Figure 12. Firstly, the diesel engine is removed and an electric motor whose rated power is 37 kW is installed. And, the independent metering in and



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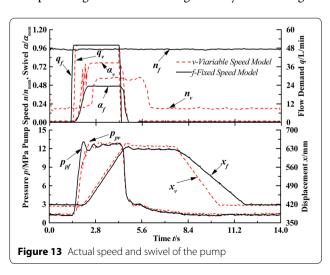
metering out system is used to reduce the throttling loss. The working performance and energy efficiency of the single actuator, such as the boom cylinder, arm cylinder and bucket cylinder are tested under the same working condition with the proposed speed and displacement coordination method and a traditional displacement variable method. The speed of the traditional displacement variable method is set as 1500 r/min.

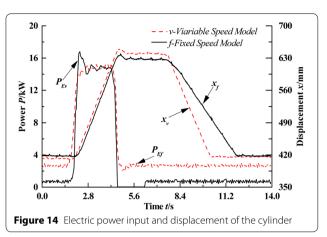
#### 5.2 Experiment Results

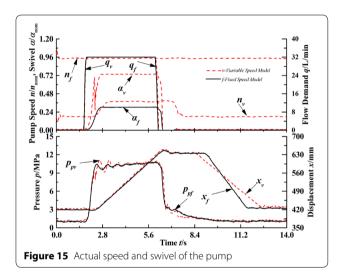
#### 5.2.1 Boom Cylinder

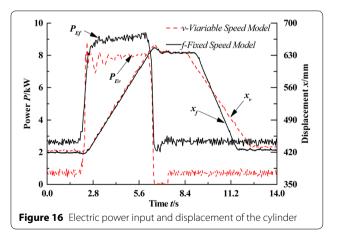
Figures 13, 14, 15, 16 present the experimental results of the speed of the motor, actual swivel of the pump, outlet pressure of the pump, the electric power input to the system and the displacement of the boom cylinder. When the boom cylinder extends out, the flow is set as the demand, and pump does not output flow at other times. When the boom cylinder retracts in, the two control valves are connected to the tank, and the boom retracts due to its gravity.

*5.2.1.1 High velocity* As shown in Figures 13 and 14, the demand flow is set as 50 L/min. At the time of 1.4 s, the operator gives the extending velocity demand signal









by operating the joystick. The electric motor and pump work under the set signals. And at the time of 4.2 s, the operator releases the joystick, the electric motor works under a speed of 300 r/min, and the displacement of the

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pump is set as its minimum. At the time of 7.6 s, the operator gives the retraction signal, and the boom cylinder retracts under a regeneration model.

As shown in Figure 13, under the same flow rates, the speed and swivel of the pump are about 1500 r/min and 46% with the constant speed strategy, and which are about 900 r/min and 77% with the new designed coordination method. From the pressure and displacement curves, it can be seen that the dynamic performances are approximately equal.

As shown in Figure 14, when the boom cylinder extends out, the electric power consumptions with the two methods are almost the same, which is about 14.84 kW, and the energy efficiency of the power source is about 67.3%.

However, when the pump does not output flow, the power consumption is about 2.67 kW with the constant speed strategy, and which is only 0.62 kW with the new designed method. It can be obtained that the electric power consumption at idle can be effectively reduced.

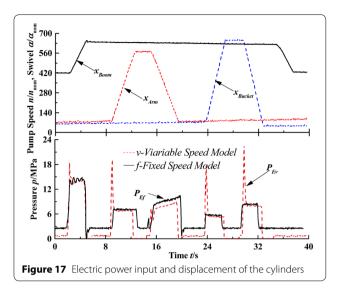
5.2.1.2 Medium velocity As shown in Figures 15 and 16, the demand flow is set as 30 L/min. At the time of 1.7 s, the operator gives the extending velocity demand signal by operating the joystick. The electric motor and pump work under the set signals. And at the time of 6 s, the operator releases the joystick, the electric motor works under a speed of 300 r/min, and the displacement of the pump is set as its minimum.

As shown in Figure 15, under the same flow rates, the speed and swivel of the pump are about 1500 r/min and 29% with the constant speed strategy, and which are about 600 r/min and 71% with the new designed coordination method. From the pressure and displacement curves, it can be seen that the dynamic performances are approximately equal.

As shown in Figure 16, when the boom cylinder extends out, the electric power consumptions with the constant speed method is 9.12 kW, which is 7.75 kW with the new coordination method, and the energy efficiencies of the power source are about 57.6% and 67.8%. It can be obtained that the electric power consumption under partial load can be effectively reduced.

#### 5.2.2 The Whole Machine Working

Figure 17 gives the displacements of the cylinders and electric power input to the system, when the whole machine works under non-load condition. During 2.12–4.75 s, the boom cylinder extends out with the flow rate about 50 L/min, 8.79–12.67 s, the arm cylinder extends out with the flow rate about 50 L/min, 15.05–19.61 s, the arm cylinder retracts in with the flow rate about 30 L/min, 23.45–26.99 s, the bucket



cylinder extends out with the flow rate about 50 L/min, 29.51–32.85 s, the bucket cylinder retracts in with the flow rate about 30 L/min, and then 34.77–37.54 s, the boom cylinder retracts in under the regeneration model.

When the boom cylinder extends out, the arm cylinder extends out and retracts in, the bucket cylinder extends out and retracts in, the energy efficiency of the power source with the constant speed method are as follows 67.3%, 48.3%, 61.2%, 39.5% and 58.2%, and which are about 70.3%, 52.5%, 71.5%, 43.2% and 62.6% with the new coordination method.

#### **6 Conclusions**

- (1) During most working conditions, the lowest and highest energy efficiency of the power source driven by an electric motor are 43% and 71%, which is significantly higher than the power source with a fuel engine. And also, using the new coordination method, the energy efficiency can be increased about 3%–10%.
- (2) During the idle period, the motor speed is set as 300 r/min and the power source output a constant pressure about 1.5 MPa, the power consumption is about 0.62 kW with the new coordination method, and which is about 2.67 kW with the constant speed method.
- (3) When the electric motor starts with full load or heavy load, the dynamic response of the power source is relatively slow. However, the dynamic response of the system can be improved to meet the needs of use with the segmented speed control and continuous displacement control and an accumulator.

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#### **Authors' Contributions**

LG was in charge of the whole trial and wrote the manuscript; LQ guided the writing of the manuscript. XZ, ZD and JY assisted with sampling and laboratory analyses. All authors read and approved the final manuscript.

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#### **Competing Interests**

The authors declare that they have no competing interests.

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